# DAVID MICHAEL ("MIKE") LAMPTON

Born: May 17, 1946, Glendale, CA Spouse: Married to Susan Sedlacek Lampton

Children: Kate and Adam Phone: Office (202) 663-7739

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# **EDUCATION**

Willamette University, Salem, Oregon	1964-1965	
Stanford University, Stanford, CA	1965-1968	BA
Stanford University, Stanford, CA	1969-1971	MA
Stanford University, Stanford, CA	1974	Ph.D.
University of Michigan, Ann Arbor, Post-Doctoral Fellow, Center for Chinese Studies	1973-1974	

## **AWARDS**

Phi Eta Sigma academic honorary, Willamette University	1965
Honors, Willamette University	1965
Phi Beta Kappa, Stanford University	1968
Graduated from Stanford University with Great Distinction and Honors	1968
First Place, International Studies Assn. Essay ContestWest	1972
Ford Foundation Support for Ph.D. Program, Stanford	1969-1973
Fulbright Dissertation Grant, Hong Kong, 1972-1973	1972-1973
Award for Outstanding Achievement in the Field of Education, Ohio State University	1979
Outstanding Teacher Award, Ohio State UniversityCollege of Arts & Sciences	1980-1981
Honorary Doctorate, Institute of Far Eastern Studies, Russian Academy of Sciences,	
Moscow, Russia	1995
Honorary Senior Fellow, Institute of American Studies, Chinese Academy of Social	
Sciences, Beijing	2008
Bernard Schwartz Book Award, Asia Society, Honorable Mention, for	
The Three Faces of Chinese Power: Might, Money, and Minds	2009
National Asia Research Program Associate and Fellow, National Bureau of Asian Research,	2010-2011
Visiting Fellow, Shanghai Institutes of International Studies	June 1-14, 2010
"The Inaugural Scalapino Prize," awarded by The National Bureau of Asian Research	
And the Woodrow Wilson International Center for Scholars, for "exceptional contributions	
to America's understanding of the vast changes underway in the region [Asia]."	June 17, 2010
"Residency Award, the Bellagio Center," The Rockefeller Foundation, for the period	
May 24, 2011-June 21, 2011, Bellagio, Italy	May-June, 2011
Johns Hopkins University, "Gilman Scholar," (Inaugural Group Awarded)	March 14, 2011

## **EMPLOYMENT**

Stanford University Fire Department	1967-1968
United States Army, active duty in enlisted ranks	1968-1969
United States Army Reserve, enlisted promoted to commissioned ranks (mid-period)	1970-1983
Research Assistant to Professor Alexander George, Stanford University	1970-1971 (summers)
Assistant Professor, The Ohio State University, Columbus, Ohio	1974-1979
Associate Professor, The Ohio State University, Columbus Ohio	1979-1987
Senior Research Associate, The Mershon Center, The Ohio State University	1980-1987
Principal Staff Officer and Director of Special Projects, National Academy of	
Sciences, Committee on Scholarly Communication with the People's	

Republic of China (on leave from OSU), Washington, DC 1983-1985

Founding Director, China Policy Studies Program, American Enterprise Institute,

Washington, DC 1985-1987

President-elect, National Committee on U.S.-China Relations, Inc.,

New York, New York Nov.1986-Dec. 1987

President, National Committee on U.S.-China Relations, New York City Jan.1988- Nov. 1997

George and Sadie Hyman Professor of China Studies and Director, China Studies Program, Johns Hopkins Nitze School of Advanced International

Studies, Washington, DC Dec. 1997-present May 1998-May 2006 Founding Director, Chinese Studies Program, The Nixon Center, Washington, DC

Dean of Faculty, Johns Hopkins-SAIS, Washington, DC (In addition to previous

July 2004-June 2012 Duties)

May 2006-May 2009 Senior International Advisor on China, Akin Gump Strauss Hauer & Feld, LLP

Consultant and Senior International Advisor on China, Akin Gump Strauss Hauer & Feld LLP May 2009- May 2010

## **BOARDS AND SERVICE**

Board of Directors, National Committee on U.S.-China Relations 1981-1987 (ex-officio, 1981-1997)

1997-2003, 2004-2010, 2011-1997-2003, 2004-2010, 2011-

Board of Directors, Executive Committee (June 1998), National Committee on U.S.-China Relations

Board of Trustees, Colorado College, Colorado Springs, Colorado Mar. 1999-present Executive Committee, Secretary for Board, Colorado College February 2010-May 2013 Chair, Colorado College Presidential Search Committee Fall 2010-Spring 2011

Editorial Advisory Board, Journal of Contemporary China 2001-present

Board of Directors, Tibet Poverty Alleviation Fund, Cambridge, MA 1998-December 20, 2008

Board of Trustees, U.S.-China Legal Cooperation Fund, Washington, DC 1999-2012 Yale-China Board of Directors July 2002-2008

National Bureau for Asian Research, Advisory Board About 2006-present The U.S.-China Policy Foundation, Advisory Board November 2005-present September 2006-present

The Asia Foundation Board of Trustees

Advisory Committee, U.S.-China Working Group, U.S. House of

Representatives, Co-Chairs, Rick Larsen, Mark Kirk/Charles Boustany 2006-present Editorial Board, Asia Policy 2006-present Search Committee Member, Dean Search, SAIS 2011-2012

#### **CONSULTING EXPERIENCE**

Miscellaneous government/private sector consulting 1978-present

Department of Health, Education and Welfare, Secretary Califano 1979 Central Intelligence Agency 1978-1981 Consultant to A. Alfred Taubman and Max Fisher 1981

**Kettering Foundation** 1984-1988, 1999-present

National Academy of Sciences, Committee on Scholarly Communication with the

People's Republic of China 1983-1985

American Enterprise Institute, Adjunct Scholar, Founding Director, China Policy

Studies Program 1985-1987

The Nixon Center (later became Center for the National Interest) 1988-2006

Aspen Institute, Congressional Program (headed by Dick Clark, Dan Glickman) 1998 – August 2012 Akin Gump—Sr. International Advisor on China May 2006-May 2009 Akin Gump—Consultant June 2009-2010

## **ADMINISTRATIVE EXPERIENCE**

Director, Placement Service, Department of Political Science, OSU	1978-1980
Director, Ohio Regional China Council	1978-1981
Chair, Search Committee for the Director East Asian Studies	
Chair and member, Steering Committee for Battelle Technology and Human	
Affairs Program, OSU	1980-1982
Chair, Arts and Sciences College Petition Committee, OSU	
Chair, Admissions and Financial Aid Committee, Graduate Program, Political	
Science Department, OSU	1980-1982
Acting Chair, Graduate Studies Committee, Department of Political Science, OSU	1983
Member, Steering Committee of University China Relations Committee, OSU	1980-1983
Ph.D. Program Coordinator, Department of Political Science, OSU	1982-1983 and
	1985-1987
Coordinator, Comparative Politics Field, Department of Political Science, OSU	1985-1987
Member, Ad Hoc International Affairs Advisory Committee, OSU	1985-1987
Principal Staff Officer and Director, Special Projects, National Academy of	
Sciences, Washington, D.C. (listed above)	1983-1985
Founding Director, China Policy Studies Program, American Enterprise Institute,	
Washington, DC	1985-1987
President, National Committee on U.SChina Relations, Inc. , New York	Jan. 1988–Dec. 1997
Director, China Studies Program, Johns Hopkins-SAIS, Washington, DC	Dec. 1997–present
Founding Director, China Studies, The Nixon Center, Washington, DC	May 1998- May 2006
Co-Chair, International Council of the Institute for International Research at	
The Hopkins-Nanjing Center, Nanjing, China	July 2000-2008
Chair of various search committees, including those that resulted in hiring of	1000
Francis Fukuyama, Sunil Khilnani, Anne Thurston, Daniel Wright, Elizabeth Knup	1999-present
Kathryn Mohrman (Hopkins-Nanjing Program), Peter Lewis, Eugene Martin, Jason	
Patent, David Jhirad, and Deborah Brautigam	1000
Chair, Faculty Search Committee, Hopkins-Nanjing Center Program, SAIS	1998-present
Dean of Faculty, Johns Hopkins-SAIS  Chair Presidential Second Committee Coloredo College	July 2004-June 30, 2012
Chair, Presidential Search Committee, Colorado College Member, Search Committee, SAIS Deanship,	Fall-Winter-Spring 2010-2011 Fall 2011-Spring 2012
Member, Search Commuce, SAIS Deansing,	1 an 2011-Spring 2012

## **PUBLICATIONS**

## Books and monographs:

- David M. Lampton, <u>Health, Conflict, and the Chinese Political System</u>, Michigan Papers in Chinese Studies, No. 18 (1974), University of Michigan Center for Chinese Studies, Ann Arbor, 149 pp.
- \_\_\_\_\_\_, <u>The Politics of Medicine in China: The Policy Process 1949-1977</u>, Boulder, Colorado: Westview Press, 1977, 301 pp.
- David M. Lampton, with the assistance of Yeung Sai-cheung, <u>Paths to Power: Elite Mobility in Contemporary</u>
  <a href="China"><u>China</u></a>, Center for Chinese Studies: University of Michigan, Ann Arbor, 1986, 378 pp. Second edition, with preface to second printing, issued 1989.
- \*David M. Lampton, with Joyce Madancy and Kristen Williams, <u>A Relationship Restored: U.S.-China</u>

  <u>Educational Exchanges, 1978-1984</u>, National Academy Press: Washington, D.C., 1986, 266 pp. George Beckmann was head of NAS Advisory Committee.
- David M. Lampton and Gregory C. May, <u>Managing U.S.-China Relations in the Twenty-First Century</u>, The Nixon Center: Washington, D.C., 1999, 91 pp.

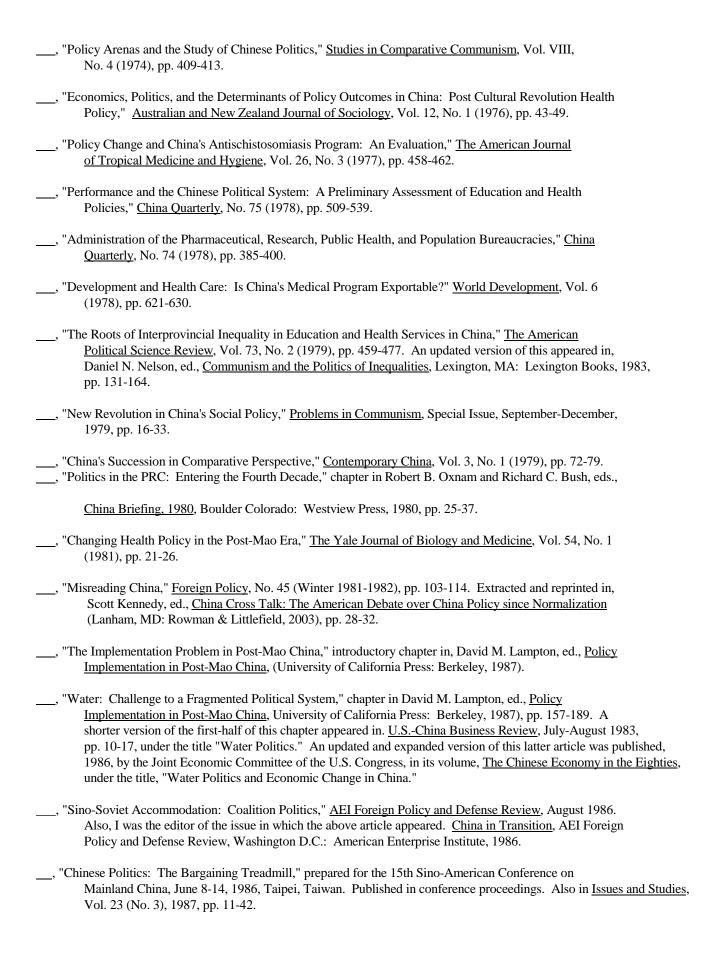
- David M. Lampton and Gregory C. May, <u>A Big Power Agenda for East Asia: America, China, and Japan</u>, The Nixon Center: Washington, D.C., 2000, 86 pp., with foreword by James Schlesinger.
- David M. Lampton, Same Bed, Different Dreams: Managing U.S.-China Relations, 1989-2000, University of California Press: Berkeley, 2001, 497 pp. (Hardback). Paperback edition published in 2002. Chinese-language edition (Tong Chuang Yi Meng) was published in mid-2003 by Chinese University of Hong Kong Press, with a "Postscript" bringing the volume up to date (2003). The Chinese edition has been adopted by the Department of State to be distributed at its posts in Chinese-speaking regions as part of its books in translation program.
- David M. Lampton and Richard Daniel Ewing, <u>U.S.-China Relations in a Post-September 11<sup>th</sup> World</u>, The Nixon Center: Washington, D.C., 2002, 100 pp.
- David M. Lampton and Richard Daniel Ewing, <u>The U.S.-China Relationship Facing International Security Crises: Three Case Studies in Post-9/11 Bilateral Relations</u>, Washington, DC: The Nixon Center, 2003.
- David M. Lampton and Travis Tanner, <u>Taiwan's Elections</u>, <u>Direct Flights</u>, and <u>China's Line In The Sand: Implications For Washington</u>, <u>Beijing</u>, and <u>Taipei</u>, Washington, DC: The Nixon Center, July 2005.
- David M. Lampton, <u>The Three Faces of Chinese Power: Might, Money, and Minds</u>, Berkeley, CA: University of California Press, 2008. Hardback and paperback editions published simultaneously. Chinese-language edition, Xinhua Publishing, January 2009, title in Chinese: *Zhongguo Liliang de San Mian: Junli, Tsaili, he Zhili*.
- David M. Lampton, Following the Leader: Ruling China, from Deng Xiaoping to Xi Jinping, forthcoming, University of California Press, January 2014.

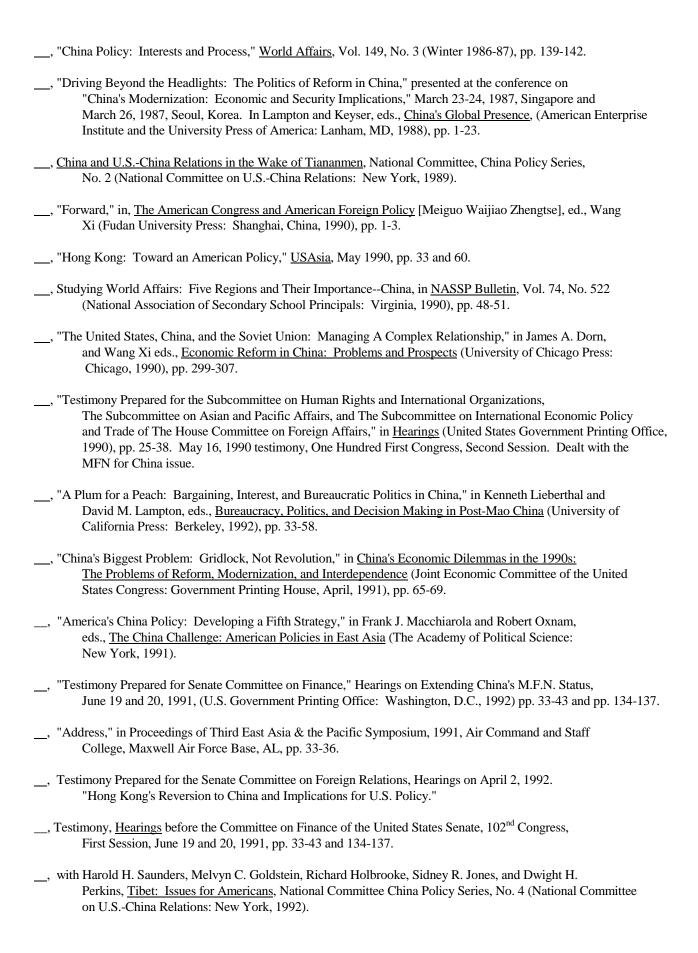
#### Edited Books:

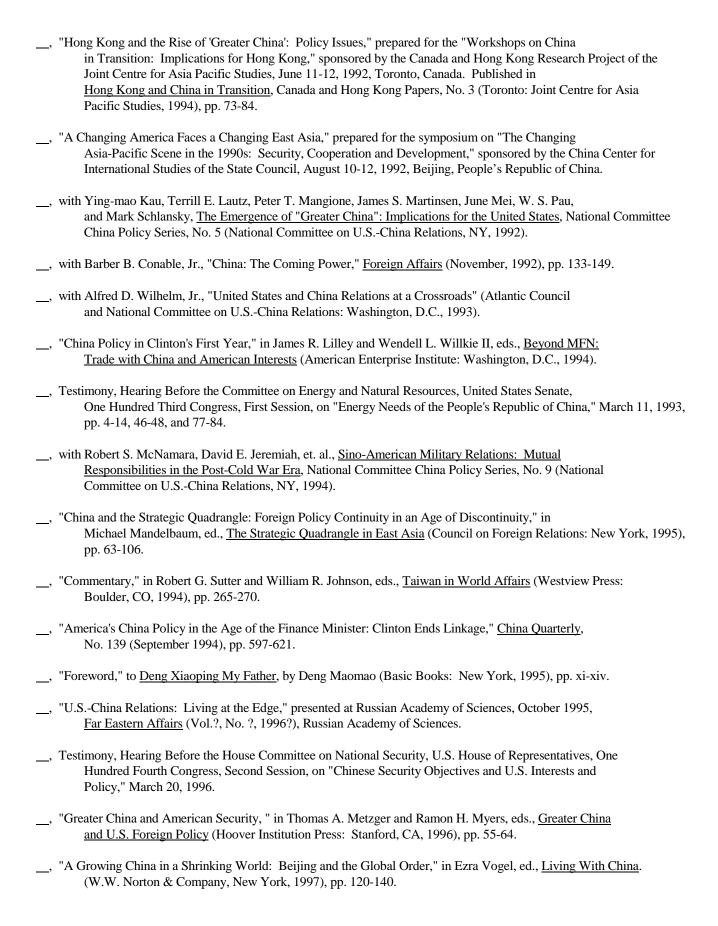
- David M. Lampton, ed., <u>Policy Implementation in Post-Mao China</u>, University of California Press: Berkeley, 1987, 430 pp.
- David M. Lampton and Catherine Keyser, eds., <u>China's Global Presence</u>, American Enterprise Institute and University Press of America: Lanham, MD, 1988, 237 pp.
- David M. Lampton, with Kenneth Lieberthal, eds., <u>Bureaucracy, Politics, and Decision Making in Post-Mao China</u>, University of California Press: Berkeley, 1992, 379 pp.
- David M. Lampton, ed., <u>Major Power Relations in Northeast Asia: Win-Win or Zero-Sum Game</u>. Japan Center for International Exchange: New York and Tokyo, 2001.
- David M. Lampton, ed., <u>The Making of Chinese Foreign and Security Policy in the Era of Reform</u>, Stanford University Press: Stanford, CA, 2001, 508 pp. Put out in hardback and paperback simultaneously.

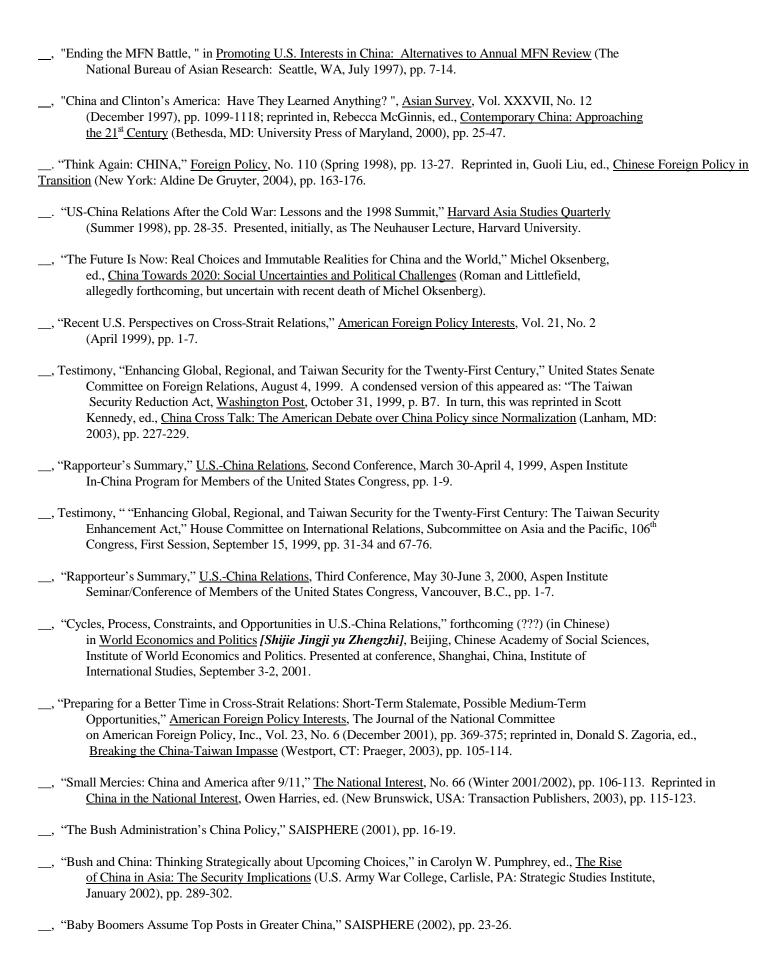
## Articles, chapters and congressional and commission testimony:

No. 10 (December 1972), pp. 895-904.
, "The U.S. Image of Peking in Three International Crises," <u>Western Political Quarterly</u> , Vol. XXVI, No. 1 (March 1973), pp. 28-50.
, "Trends in Health Policy," Current Scene, Hong Kong, Vol. XII, No. 6 (June 1974), pp. 1-9.
, "Health Policy During the Great Leap Forward," China Quarterly, No. 60 (1974), pp. 668-698.









, "On Their Minds and On the Agenda: The Bush-Jiang Crawford Summit," <u>In The National Interest: A Weekly Commentary and Analysis on Foreign Policy</u> , <u>www.inthenationalinterest.com</u> (accessed 9/30/2002).
, "Editor's Response: The Middle Way of Middle Theory," <u>Issues and Studies</u> Vol. 38, No. 4 and Vol. 39, No. 1 (December 2002/March 2003). This number of <u>Issues and Studies</u> has five reviews of the volume I edited entitled <u>The Making of Chinese Foreign and Security Policy in the Era of Reform</u> (2001). This is my response to the five reviews by: J. David Singer, Ross Terrill, Yun-han Chu, David Finkelstein, and Gregory Moore.
, "Testimony" before the Senate Foreign Relations Committee, Subcommittee on East Asian and Pacific Affairs, March 19, 2003, entitled, "Thinking About the Security Implications of China's Rise."
, "Rapporteur's Summary," <u>U.SChina Relations</u> , January 17-23, 2003, Aspen Congressional Members Conference, pp. 1-8.
, "Foreword" to Daniel B. Wright, The Promise of the Revolution: Stories of Fulfillment and Struggle in China's <a href="Hinterland">Hinterland</a> (Lanham, MD: Rowman & Littlefield, 2003), pp. xi-xiv.
, "China and the Crisis in Korea," adapted from an address to the Richard Nixon Library and Birthplace Foundation in Yorba Linda, CA, July 25, 2003, <u>In The National Interest</u> , <u>www.inthenationalinterest.com</u> , Vol. 2, No. 30 (July 30, 2003).
, "The Stealth Normalization of U.SChina Relations," The National Interest, No. 73 (Fall 2003), pp. 37-48.
, "Comment," in "Watching China: Arthur Waldron and Critics," Commentary (October 2003), pp. 12-14.
, "Rapporteur's Summary," in Dick Clark, ed., <u>U.S-China Relations and China's Integration with the World</u> ," Aspen Congressional Conference, January 5-11, 2004, Honolulu, Hawaii (Washington, DC: Aspen Institute, 2004), pp. 1-8.
, "China's Rise In Asia Need Not Be At America's Expense," in David Shambaugh, ed., <u>Power Shift: China and Asia's New Dynamics</u> (Berkeley, CA: University of California Press, 2005), pp. 306-326.
, "U.SChina Relations and America's Pacific Alliances in the Post-9/11 Era," in Michael Armacost and Daniel Okimoto, eds., (Stanford, CA: Stanford University's Asia-Pacific Research Center, 2004), pp. 221-236. Distributed by Brookings Institution Press.
, "China's Growing Power and Influence in Asia: Implications for U.S. Policy," Hearing, Testimony before, U.SChina Economic and Security Review Commission," San Diego, CA., February 13, 2004. Published by U.S. Government Printing Office, 2004.
, "The Second Bush Administration and U.SChina Relations," <u>Caijing Zazhi [Finance Magazine]</u> , Beijing China, December 2004, pp.
, "Alliances, Atoms, and Asia Policy," <u>SAISPHERE</u> (December 2004), pp. 28-31.
, "Rapporteur's Summary," in Dick Clark, ed., <u>U.SChina Relations</u> Aspen Congressional Conference, March 25-April 3, 2005, People's Republic of China (Washington, DC: Aspen Institute, 2005), pp. 1-7.
, Testimony. "What Growing Chinese Power Means for America," Prepared for Hearing on: "The Emergence of China Throughout Asia: Security and Economic Consequences for the U.S.," United States Senate, Committee on Foreign Relations, Subcommittee on East Asian and Pacific Affairs, June 7, 2005. This Testimony was prepared for hearing when scheduled for May 25, 2005, then rescheduled for June 7, 2005, when I was unable to appear in person. I was invited to submit the Testimony in written form for inclusion in the official record.
, "Paradigm Lost: The Demise of `Weak China," The National Interest, Fall 2005, pp. 73-80.
, Testimony. "Hearings on: The Role of China in Latin America," Senate Foreign Relations Subcommittee on Western Hemisphere, Peace Corps and Narcotics Affairs," September 20, 2005.

, with Bo Kong, "China Comes In From the Cold," <u>SAISPHERE</u> , 2005, pp. 34-37.
, "Rapporteur's Summary," in Dick Clark, ed., <u>U.SChina Relations</u> , Aspen Congressional Conference, April 9-15, 2006, Honolulu Hawaii (Washington, DC: Aspen Institute, 2006), pp. 1-7.
, "China: Outward Bound But Inner-Directed," <u>SAISPHERE</u> , 2006, pp. 6-9.
, "The Faces of Chinese Power," Foreign Affairs 86, no. 1 (January/February 2007), pp. 115-127.
, "Rapporteur's Summary," in Dick Clark, ed., <u>U.SChina Relations</u> , Aspen Congressional Conference, March 29-April 3, 2007 People's Republic of China (Washington, DC: Aspen Institute, 2007), pp 1-6.
, "What's Your China Fantasy?", Debate with James Mann, <u>Foreign Policy</u> (online), May 2007, <a href="http://www.foreignpolicy.com/story/cms.php?story_id=3837">http://www.foreignpolicy.com/story/cms.php?story_id=3837</a> ; and related book review, <u>The China Quarterly</u> , no. 191 (September 2007), pp. 745-749.
, "Alternative Security and Foreign Policy Futures for China: 2020," Asia Policy, no. 4 (July 2007), pp. 7-15.
, "Dangerous Triangle: U.S., China & Taiwan," <u>SAISPHERE</u> 2007, (Washington, D.C.: School of Advanced International Studies 2008), pp. 42-45.
, "Rapporteur's Summary," in Dick Clark, ed., <u>U.SChina Relations</u> , Aspen Congressional Conference, March 24-30, 2008, Lanai Hawaii (Washington, DC: Aspen Institute, 2008), pp. 1-8.
, "A Moment of Opportunity in the Taiwan Strait?" in <u>America's Role in Asia: Asian and American Views</u> (San Francisco: The Asia Foundation, 2008), pp. 195-205.
, "China on the Eve of the Olympics," Testimony, Hearing of House Committee on Foreign Affairs, July 23, 2008, 9:30 am-12:15 pm., Serial No. 110-207, at: <a href="http://www.foreignaffairs.house.gov">http://www.foreignaffairs.house.gov</a>
, "Soft Power, Hard Choices," China Security, Vol. 4, No 3 (Summer 2008), pp. 6-9.
, "China and the Legacy of U.S. Presidents: China has left its mark on every president since Johnson," <u>China Brief</u> , Vol. 16, No. 8 (American Chamber of Commerce in China: Beijing, 2008), pp. 18-20.
, "Water in China: Big Problems, Big Solutions," <u>SAISPHERE</u> 2008, (Washington, D.C.: School of Advanced International Studies 2008), pp.43-46.
, "Exclusive Interview: Dr. David M. Lampton," <u>China Brief</u> (Beijing: American Chamber of Commerce in China, Vol. 17 (January/February 2009), pp. 13-14.
, "Q&A with David M. Lampton," <u>Intermission</u> , May 2009, pp. 13-14. A publication of the Tulsa Performing Arts Center.
, "Water Politics and Political Change in China," <u>Asia Policy</u> (July 2009), pp. 122-125; review article.
, "The United States and China in the Age of Obama: Looking Each Other Straight in the Eyes," <u>Journal of Contemporary China</u> Vol 18, No. No. 62 (November 2009), pp. 703-727.

\_\_, "Measuring Obama's First Visit: A compass for measuring the success of President Obama's trip," China Brief, The American

Chamber of Commerce in China, Vol. 17, No. 10 (November 2009), pp. 9-10.

, "China looks at the world: the world looks at China," in <u>Great Decisions 2010</u> , <u>www.greatdecisions.org</u> , year 2010, Foreign Policy Association, New York City, pp. 43-54.
, "Power Constrained: Sources of Mutual Strategic Suspicion in U.SChina Relations," <u>NBR Analysis</u> , The National Bureau of Asian Research (June 2010); translated into Chinese and published in an edited volume by Peking University Press. See Men Honghua, ed., <u>Guan Jian Shi Ke</u> [The Critical Moment] (Beijing: Peking University Press, 2012), pp. 178-188.
, Two-part lecture series, "Power and Trust in U.SChina Relations," in Chinese and English in, <u>Global Review</u> Vol. 7, No. 4 (Shanghai: Shanghai Institutes of International Studies, July-August 2010), pp. 39-56 in English and 42-58 in Chinese.
, Interview, "China's Views Of Its Own Rise Creates Tensions In Bilateral Relations, Causes Worry In Asia," <u>Washington Report</u> , Vol. 4, September 2010 (Washington, DC: U.S. Asia Pacific Council), pp. 1-6 and 9.
, "What If China Fails? We'd Better Hope It Doesn't," The Wilson Quarterly, Vol. 34. No. 4 (Autumn 2010), pp. 6166.
, with Bo Kong (who is first author), reports to National Nuclear Security Administration (DOE), on governance of the Chinese civilian nuclear system. Report One, "China's Nuclear Governance," September 2009; Report Two, "Nuclear Security in China," (September 2010).
, Two additional nuclear reports I am first author
"Zhou Guanghui, "Dangdai zhongguo juetse tizhi de xingcheng yu biange," ["Comment on Zhou Guanghui's Essay on `The Formation and Transformation of the Decision-Making System in Contemporary China'"], in Yu Keping, ed., <u>Zhongguo de Zhengzhi Fazhan</u> [China's Political Development], (Beijing: Social Sciences Academic Press, 2013), pp. 372-377.
, "Foreword: Delving into the Challenges Confronting China," in Zhiqun Zhu, ed., <u>The People's Republic of China Today: Internal and External Challenges</u> (New Jersey: World Scientific, 2011), pp. xxi-xxiv.
, "US-China Relations after the Midterm Deluge," <u>China Brief</u> (Beijing: American Chamber of Commerce in the People's Republic of China, December 2010), pp. 10-11.
, "The Costs of China's Population Policy?", SAISPHERE, 2010-2011 edition, pp. 44-47.
, TESTIMONY, "China's Narratives Regarding National Security Policy," Hearing of the U.SChina Economic and Security Review Commission, March 10, 2011, 9:30-12:00 noon, Dirksen Senate Office Building, Hearing Room 106.
, with Bo Kong, "How Safely Will China Go Nuclear?," <u>China-U.S. Focus</u> , April 6, 2011, <a href="http://chinausfocus.com/energy-environment/how-safely-will-china-go-nuclear/">http://chinausfocus.com/energy-environment/how-safely-will-china-go-nuclear/</a>
"Slipping Tectonic Plates in U.SChina Relations: Seeking Stabilization Amid Tremors," <u>American Foreign Policy Interests</u> , Vol. 33, No. 3 (May-June 2011), pp. 111-118.
"Beijing, Washington, and the Korean Peninsula," in Choi Jinwook, ed., <u>U.SChina Relations and Korean Unification</u> (Seoul, Korea: Korea Institute for National Unification, 2011), pp. 232-264.
"Meifang renshi tan zhanlue zhongxin quanxiang yatai de yiyi yu xinxiang," [Americans Talk about the Significance and Direction of Shifting the Strategic Center to the Asia-Pacific], <u>Lingdaozhe</u> [Leaders], No. 44 (2012), pp. 25-30.
, with Bo Kong, "China: Arms Control and Non-Proliferation Policy—Situational Ethics," China Nuclear Series: No. 7, May 2012. Written for U.S. Department of Energy and National Nuclear Safety Association.
, "China and the United States: Beyond Balance," Asia Policy, No. 14 (July 2012), pp. 40-44.
, "China's Changing Cities: What will an urbanized China mean for the rest of the world?" SAISPHERE, 2012-2013, pp. 60-62.

- \_\_\_\_\_, TESTIMONY, "Cooperative Balance in Asia," HEARING: "China's New Leadership and Implications for the United States," Testimony before the U.S.-China Economic and Security Review Commission," February 7, 2013, Rayburn House Office Building.

  \_\_\_\_\_, "A New Type of Major Power Relationship: Seeking a Durable Foundation for U.S.-China Ties," <u>Asia Policy</u> (16), July 2013, pp. 1-18: A shorter version of this article was published by the Chinese Academy of Social Sciences in, *Meiguo Lanpishu 2013* [Bluebook
- \_\_\_, "A funeral oration for the concept of `leadership generation," Paper presented at the SIPRI conference on: "The Hu Jintao Decade in China's Foreign and Security Policy (2002-12): Assessments and Implication," Stockholm, 18-19 April 2013, available at <a href="http://www.sipri.org/events/past/2013/china-hu-1304">http://www.sipri.org/events/past/2013/china-hu-1304</a>
- \_\_\_, "The Mixed Blessing of a More Normal China: The Drivers of Beijing's Foreign Policy," <u>Great Decisions 2014</u>. <u>www.greatdecisions.org</u> (New York: Foreign Policy Association, 2014), FORTHCOMING pp. ??

## SPECIAL, NAMED LECTURES

I have delivered the Neuhauser Lecture at Harvard; The Lopat Memorial Lecture at Colorado College; the Shorenstein Lecture at Stanford University; the Holdridge Memorial Lecture at George Washington University; The Inaugural C.V. Starr Lecture at The Foreign Policy Association in New York City; The Oksenberg Lecture Stanford University; The Alice and John Berninghausen Inaugural Lecture, Middlebury College; the Westminster Town Hall Forum, Minneapolis, MN, March 25, 2010, on NPR; the 2010 Fall Provost's Lecture, Johns Hopkins University School of Public Health; the Laura B. Jackson Endowed Lecture in World Issues at Baylor University (March 2012); Graduation Speaker, Hopkins-Nanjing Center, Nanjing, China (May 2012); LUND Critical Debate, "Is China the New Superpower?," Cornell University, November 14, 2012.

## PROFESSIONAL ACTIVITIES

## Book reviews, popular articles, and television appearances

Book reviews have appeared in:

<u>The American Political Science Review, Political Studies Quarterly, Journal of Politics, The Journal of Asian Studies, SAIS Review, The China Quarterly, Foreign Policy (online), The National Interest, Asia Policy, Foreign Affairs.</u>

Popular articles and interviews have appeared in:

2013] (Beijing: Zhongguo Shehui Kexue Yuan Chubanshe, 2013), pp. 38-52.

The Wilson Quarterly, The Asian Wall Street Journal Weekly, Time Magazine (Asia), The Asian Wall Street Journal, The New York Times, The International Herald Tribune, The Washington Post, Commentary, Newsday, Minneapolis Tribune, The Plain Dealer, The Columbus Dispatch, China Exchange News, Christian Science Monitor, The China Business Review, New York Daily News, South China Morning Post, SAISPHERE, The Chautauguan Daily, The Journal of Commerce, and Cankao Xiaoxi (Reference News), The Indianapolis Star, The Straits Times (Singapore), The Boston Globe, Liberation Daily (Shanghai), People's Daily, Newsday, Huan Qiu Shibao [Global Times], English and Chinese-language editions; Oriental Outlook Weekly [Dongfang Liaowang Zhoukan], Washington Report (U.S. Asia Pacific Council), China Brief (American Chamber of Commerce in the People's Republic of China), China-US Focus; Lindaozhe (Leaders); and Caijing (Finance Magazine).

#### *Television and radio appearances:*

NBC's The Today Show, ABC Evening News with Peter Jennings, Adam Smith's Money World, The Charlie Rose Show, BBC World News, Newsmaker Saturday, MacNeil Lehrer Newshour, PBS Frontline, CNN, CNN Asiaweek, Business Asia, Business Insiders, This Week with David Brinkley, Money Line with Lou Dobbs, Worldnet, C-SPAN, The NewsHour With Jim Lehrer, TalkBack Live, NPR, NPR: Talk of the Nation, NPR: Morning Edition, NPR: All Things Considered, CNN's Diplomatic License with Richard Roth, The Diane Rehm Show, Frontline, The Kojo Nnamdi Show, "On Point" with Tom Ashbrook (NPR), FOX Evening News with Tony Snow, Special Report w/Brit Hume, PBS The Nightly Business Report with Paul Kangas, VOA's WorldNet, Vietnam net <a href="www.vnn.vn">www.vnn.vn</a>, The NBC Nightly News with Brian Williams, Andrea Mitchell numerous Voice of America, BBC, and CBC programs, Dialogue with Yang Rui (CCTV Channel 9 in PRC),

commercial radio news programs, and numerous clips in televised news programs in Europe, North America, and East Asia, Bloomberg News; C-SPAN, multiple broadcasts, including with Henry Kissinger at the Wilson Center and Washington Journal.

## PERSONAL RESEARCH GRANTS, FELLOWSHIPS, AND CONTRACTS

National Defense Foreign Language Fellow, Stanford University, 1969-1971.

Center for East Asian Studies Grant (summer), Stanford, 1971.

Stanford University Fellow, 1971-1972.

Fulbright Fellow (Hong Kong), dissertation research, 1972-1973.

Mabelle McLeod Lewis Grant (summer), 1973.

Macy Post-doctoral Fellow, University of Michigan's Center for Chinese Studies, Ann Arbor, MI.

Ohio State University, University Small Research Grant, 1975-1976.

Mid-West Universities Consortium for International Activities, Travel Grant, summer 1976.

Asia Society, funding for Ohio Regional China Council, 1978-1981--institutional support, not for personal activities.

Central Intelligence Agency, 1978-1981, funding for research on China's emerging elite.

The Johnson Foundation, Racine, WI and Ohio State University's Mershon Center agreed to jointly fund a conference John Lewis at Stanford University and I convened on weapons sales and technology transfers to China, March 5-6, 1981.

Faculty Fellow, Ohio State University, in OSU-Wuhan University Exchange Program, 1982. For the same period, I also was selected to participate in the National Program for Advanced Study and Research in China under the auspices of the National Academy of Sciences' Committee on Scholarly Communication with the People's Republic of China.

Recipient, SSRC-ACLS Joint Committee and Mershon Center funding for workshop on "Studies in Policy Implementation in Post-Mao China," June 20-24, 1983, Columbus, OH.

Recipient (with Kenneth Lieberthal) of ACLS-SSRC Conference grant on the topic of "The Structure of

Authority and Bureaucratic Politics in China," held at Westward Look in Tucson, Arizona, June 19-23, 1988.

From January 1988 to November 1997, a principal job of mine was securing grants for the National Committee.

Recipient of Smith-Richardson Foundation grant for Project on Foreign Policy and National Security

Decisionmaking in China in the Era of Reform (grant period 1997, 1998, 1999, 2000, 2001).

Inaugural winner of the Scalapino Prize, which carried with it Award of \$50,000, awarded by the National Bureau of Asian Research and the Woodrow Wilson International Center for Scholars, June-2010.

Recipient Resident Fellowship, Rockefeller Foundation, Bellagio Center, for period May-June 2011.

Designation as a Johns Hopkins "Gilman Scholar" that carries with it a five-year grant.

I also was instrumental (along with Dean Jessica Einhorn during her tenure as Dean of SAIS) in bringing foundation grants to Johns Hopkins-SAIS for student fellowship support (the Freeman Foundation and the Starr Foundation, totaling at least \$6 million), for support of the Institute of International Research at the Hopkins-Nanjing Center, Nanjing, China (the Ford Foundation and Luce Foundation), with the joint efforts of Paula Burger, the Hopkins-Nanjing Program Office, and the Johns Hopkins University Development Office in the 1998-present period. In 2010, I was part of the team that won a \$10 million grant for creation of the Yeung Center at Johns Hopkins to promote Sino-American-related research and collaboration, an effort initiated and led by Johns Hopkins President Ron Daniels and Provost Lloyd Minor.

At The Nixon Center (later name changed to The Center for the National Interest), I was the principal investigator on two grants from the Institute for Peace in Washington, D.C. in the 1998-2006 period.

## **OTHER ACTIVITIES**

Participant, Scholar-Diplomat Program, U.S. Department of State, December, 1974.

Member, Board of Directors, National Committee on U.S.-China Relations, Inc., New York, New York, 1981-1987, ex-officio, 1987-1997, and Member 1997-2010.

Member, American Steroid Chemistry and Biochemistry Delegation to China, October 1976.

Accompanied Secretary of Health, Education, and Welfare Joseph Califano to China, October 1979.

Representative of Ohio State University to Wuhan University to negotiate a bi-lateral faculty and student exchange program, December 2-6, 1980.

Member, U.S. Foreign Affairs Think Tank Delegation to China, May 1983. Under auspices of the

National Committee on U.S.-China Relations.

Project Director, National Academy of Sciences, Committee on Scholarly Communication with the People's Republic of China for Scope of Exchanges with China study.

Director, Science, Technology and Economic Development Program, National Academy of Sciences and the National Academy of Engineering, Washington, D.C., 1983-1985.

Accompanied Kettering President David Mathews to China and Japan, February-March, 1985 and on subsequent trips as consultant to the Foundation.

Member, Committee on Advanced Study in China, Committee on Scholarly Communication with the People's Republic of China, National Academy of Sciences, Washington, D.C., 1986 and 1987.

Member, Grants Selection Committee, ACLS-SSRC, Joint Committee on China, 1987 and 1988.

Member, "The Chiefs" of non-profit organizations in New York City, 1988 to 1997.

Member, Council on Foreign Relations, New York, 1988-present.

Member, American Political Science Association, Committee on International Political Science, June 1993circa 1997.

Member, Board of Directors, The Hong Kong-America Center, Hong Kong, 1993-1994.

Member, Board of Trustees, China Institute in America, New York, 1993-1998.

Member, Board of Trustees, East Asian History of Science, Inc., 1994-1999.

Member, Board of Trustees, Tibet Poverty Alleviation Fund, Cambridge, MA., 1998-2005.

Member, Board of Trustees, U.S.-China Legal Cooperation Fund, Washington, DC, 1999-2012.

Member, Advisory Board, National Bureau for Asian Research, 2003-

Member, The Army and Navy Club, Washington, D.C., about 1998-2008.

Accompanied many American public and private sector leaders to China, and Chinese leaders to America, 1987-1997, including then mayor of Shanghai Zhu Rongji on a two-week plus trip to the United States in 1990.

Ongoing consultant to Aspen Congressional Program, 1998-2012. This involved me recruiting academics to brief Members of Congress at seminar meetings several times per year in Washington and then recruiting scholars to write briefing papers for Members of Congress when they attend three to five-day conferences held alternately in China and elsewhere in the world.

I have undertaken extended research in the PRC (August-December 1982), Hong Kong (1972-1973), and Taiwan (summer 1978) and make about three to five trips to China, Taiwan, and/or Hong Kong per year, always using part of each trip for research.

Presentation to the Defense Policy Board, Pentagon, December 13, 2010.

### **WORK IN PROGRESS**

I currently am finishing a book project concerning Chinese leaders over the nearly forty years of reform and a project with a colleague at SAIS on the governance of the PRC's civilian nuclear power industry and related arms control and proliferation issues.